附件：6

市级科技创新团队功能定位及申报条件

一、功能定位

延安市科技创新团队是面向我市产业创新发展需求，以高层次技术专家为核心，由相关专业领域的科技人员组成的专业和年龄结构合理、合作创新意识强，从事基础前沿研究、应用研究、成果转化和产业化为主的科技创新集体。

二、认定条件

申请认定市级科技创新团队需具备以下基本条件：

（一）创新团队应具有稳定的研究方向。重点应围绕我市国民经济和社会发展规划纲要、科学和技术发展规划等提出的优先发展领域或重大科技问题，从事关键技术研发与创新，以及自然科学前沿问题研究和社会科学基础问题、应用问题研究。

（二）创新团队应具有开展创新活动的良好基础和条件。创新团队的协同创新能力应在我市同行中具有明显优势和发展潜力，研究成果具有省内领先或国内一流水平，具有完成研发与创新任务所必备的技术装备基础，具备良好的协作机制和环境条件。创新团队带头人及骨干成员有充分的时间和精力从事团队的创新活动。创新团队成员学风正派，无侵犯他人知识产权等不端行为。

（三）创新团队带头人应具备履职所需的能力和素质。每个创新团队应明确1名带头人。带头人应具有强烈的创新意识、良好的学术道德和社会责任感、较强的组织协调能力和合作精神，在相关领域具有重要的学术影响力。一般应为市级及以上重要人才计划入选者，获得省级科技奖励或市级科技成果鉴定者（并为主要完成人）。年龄一般不超过55岁。

（四）创新团队应具备合理的人才规模和专业结构。创新团队的核心成员数量应在8人以上，其中55周岁以下成员不少于二分之一；创新团队核心成员专业背景应具有明显的互补性，一般应具有副高级以上专业技术资格，优先支持多单位联合组建。

延安市科技创新团队计划项目

申请书

（ 年度）

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| 团队名称： |  | | | |
| 申报领域： |  | | | |
| 牵头单位： |  | | | （盖章） |
| 团队带头人： |  | | | |
| 手机号码： |  | 联系电话： |  | |
| 推荐部门： |  | | | |
| 申请日期： |  | | | |

延安市科学技术局编制

**一、团队基本情况**

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| 团队名称 | | | | | (团队名称以“延安市+研究方向+科技创新团队”命名) | | | | | | | | | | | | | | | | | |
| 研究方向 | | | | |  | | | | | | | | | | | | | | | | | |
| 申报领域 | | | | | □装备制造 □电子信息 □新材料 □能源开发与节能  □生物与新医药 □现代农业 □资源与环境 □其他 | | | | | | | | | | | | | | | | | |
| 学科名称 | | | | | （按科技部的学科分类） | | | | | | | | | | | | | | | | | |
| 本期建设开始时间 | | | | |  | | | | | | | | | | | 本期建设结束时间 | | |  | | | |
| **1、组成单位基本情况** | | | | | | | | | | | | | | | | | | | | | | |
| 组成单位（家） | | | | |  | | | | | | | | | | | 其中参与单位（家） | | |  | | | |
| 参与单位 | | | | | 单位名称 | | | | | | | | | | | | | | 单位性质  （1、高校2、企业  3、科研院所4、其他） | | | |
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| **2、牵头单位信息** | | | | | | | | | | | | | | | | | | | | | | |
| 单位名称 | | | | |  | | | | | | | | | | | 单位性质 | | | 单位性质  （1、高校2、企业  3、科研院所4、其他） | | | |
| 单位特性 | | | | |  | | | | | | | | | | | | | | | | | |
| 注册类型 | | | | |  | | | | | | | | | | | 单位法人 | | |  | | | |
| 单位地址 | | | | |  | | | | | | | | | | | | | | | | | |
| **牵头企业或科研院所填写以下内容（非营利性事业单位和高校可不填写）** | | | | | | | | | | | | | | | | | | | | | | |
| **近3年经营情况** | | | | | | | | | | | | | | | | | | | | | | |
| 年度 | | | 主营业务收入  （万元） | | | | | | | | 利润  （万元） | | | | | 纳税总额  （万元） | | 资产总额  （万元） | | 资产负债率（%） | | |
| 202 年 | | |  | | | | | | | |  | | | | |  | |  | | **系统计算** | | |
| 202 年 | | |  | | | | | | | |  | | | | |  | |  | |  | | |
| 202 年 | | |  | | | | | | | |  | | | | |  | |  | |  | | |
| **近3年自主研发产品（技术）情况（不超过10项）** | | | | | | | | | | | | | | | | | | | | | | |
| 产品（技术）名称 | | | 基本信息 | | | | | | | | | | 经济效益 | | | | | | | | | |
| 立项  时间 | | | | | 研发  周期 | | | 企业研发投入（万元） | | 销售收入（万元） | | | 占年销售比例  （%） | | 利润  （万元） | 市场占有率（%） | | | |
| 国内 | | 国际 | |
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| **牵头单位在团队建设中的支撑保障作用** | | | | | | | | | | | | | | | | | | | | | | |
| 研发投入情况 | | | | 年份 | | | | | | | | 研发经费支出总额（万元） | | | | | | 研发经费占销售收入的比例  （%）（无销售收入单位可不填写） | | | | |
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| 技术创新硬件基础情况（科研设施、技术装备、实验条件等）（限500字） | | | |  | | | | | | | | | | | | | | | | | | |
| 为创新团队技术创新活动提供配套支持服务的人才情况 | | | |  | | | | | | | | 人数 | | | | | | 占全体职工人数的比例（%） | | | | |
| 专业技术人员 | | | | | | | |  | | | | | |  | | | | |
| 技能人才 | | | | | | | |  | | | | | |  | | | | |
| 产品售后服务人员 | | | | | | | |  | | | | | |  | | | | |
| 对外项目合作交流情况（产学研合作项目等）（限500字） | | | |  | | | | | | | | | | | | | | | | | | |
| 制度建设情况（限500字） | | | | （重点填写团队绩效考核、薪酬分配、激励保障和人才培养及引进，自主知识产权保护等情况） | | | | | | | | | | | | | | | | | | |
| **3、团队人员结构基本情况** | | | | | | | | | | | | | | | | | | | | | | |
| 总人数 | | | | |  | | | | | | 核心成员 | | | | | 55岁以下核心成员 | | |  | | | |
| 牵头单位核心成员 | | |  | | | |
| 正高 | | | | |  | | | | | | | | | | | 副高 | | |  | | | |
| 中级 | | | | |  | | | | | | | | | | | 其他 | | |  | | | |
| 博士 | | | | |  | | | | | | | | | | | 硕士 | | |  | | | |
| 学士 | | | | |  | | | | | | | | | | | 其他 | | |  | | | |
| 国家级人才计划入选者 | | | | |  | | | | | | | | | | | 省级人才计划入  选者 | | |  | | | |
| **4、团队带头人基本情况** | | | | | | | | | | | | | | | | | | | | | | |
| 姓名 | |  | | | | | 性别 | | | |  | | | | 出生日期 | | | （具体到日） | （近期正面免冠二寸彩色照片） | | | |
| 政治面貌 | |  | | | | | 籍贯 | | | |  | | | | 民族 | | |  |
| 证件类型 | |  | | | | | 证件号码 | | | |  | | | | | | | |
| 学历 | |  | | | | | 学位 | | | |  | | | | | | | |
| 毕业学校 | |  | | | | | | | | | | | | 专业 | | | |  | | | | |
| 所在具体单位  （院、系、所、实验室、中心） | | | | | | | |  | | | | | | | | | | | | | | |
| 现从事专业 | | | | |  | | | | | | | | | | | | 从事时间 | |  | | | |
| 专业技术职务 | | | | |  | | | | | | | | | | | | 行政职务 | |  | | | |
| **学习经历（从大学填起）** | | | | | | | | | | | | | | | | | | | | | | |
| 序号 | 起始时间 | | | | | 结束时间 | | | | 院校 | | | | | | | | 专业 | 学历/学位 | | | |
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| **工作经历** | | | | | | | | | | | | | | | | | | | | | | |
| 序号 | 起始时间 | | | | | 结束时间 | | | | 单位 | | | | | | | | | 职务 | | | |
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| **获得重要科研学术奖励情况** | | | | | | | | | | | | | | | | | | | | | | |
| 序号 | 获奖项目名称 | | | | | | | | 奖励名称 | | | | | | | 等级 | | 授予  机构 | 获奖时间 | | | 排序 |
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| **获得人才计划支持情况** | | | | | | | | | | | | | | | | | | | | | | |
| 序号 | 人才计划名称 | | | | | | | | | | | | 授予机构 | | | | | | 授予时间 | | | |
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| **国内外学术组织及重要学术期刊任职情况** | | | | | | | | | | | | | | | | | | | | | | |
| 序号 | 组织或期刊名称 | | | | | | | | | | | | | | | | | 职务 | 任期 | | | |
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| **团队带头人研究水平和组织能力自述（限500字）** | | | | | | | | | | | | | | | | | | | | | | |
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| **5．团队核心成员基本情况（一般5-10人）** | | | | | | |
| 姓 名 | 性别 | 身份证号码 | 学历/学位 | 职务/职称 | 现从事专业  或研究方向 | 所在单位 |
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| **6、依托载体情况** | | | | | |
| 项目类别 | 级别  （国家级、省级） | 名称 | 归属  单位 | 批准  部门 | 批 准  日 期 |
| 重点实验室 |  |  |  |  |  |
| 重点学科 |  |  |  |  |  |
| 工程技术研究中心 |  |  |  |  |  |
| 企业技术中心 |  |  |  |  |  |
| 人文社科研究基地 |  |  |  |  |  |
| 博士后科研流动  （工作）站 |  |  |  |  |  |
| 重点建设工程 |  |  |  |  |  |
| 博士点、硕士点 |  |  |  |  |  |
| 知名品牌（驰名商标） |  |  |  |  |  |
| 其他创新载体 |  |  |  |  |  |
| **7、团队基本情况综述。包括团队形成背景、团队成员合作意愿及方式、运行机制，团队结构及科研条件建设情况等。（限1000字）** | | | | | |
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**二、团队近5年主要科研情况**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | **1、承担主要科研任务情况** | | | | | | | | | | | **团队带头人主持的** | | | | | | | | | | | 序号 | 项目名称 | 立项编号 | 经费  (万元) | | | 起止年月 | 性质  及来源 | | 参与的其他团队成员 | |  |  |  |  | | |  |  | |  | |  |  |  |  | | |  |  | |  | |  |  |  |  | | |  |  | |  | | **团队核心成员主持的** | | | | | | | | | | | 序号 | 项目名称 | 立项编号 | 经费  (万元) | | | 起止年月 | 性质  及来源 | | 参与的其他团队成员 | |  |  |  |  | | |  |  | |  | |  |  |  |  | | |  |  | |  | |  |  |  |  | | |  |  | |  | | **2、获得重要科研学术奖励情况** | | | | | | | | | | | **团队带头人获得情况** | | | | | | | | | | | 序号 | 获奖项目名称 | 奖励名称 | 等级 | | | 授予  机构 | 获奖  时间 | | 获奖人及排序 | |  |  |  |  | | |  |  | |  | |  |  |  |  | | |  |  | |  | |  |  |  |  | | |  |  | |  | | **团队核心成员获得情况** | | | | | | | | | | | 序号 | 获奖项目名称 | 奖励名称 | 等级 | | | 授予  机构 | 获奖  时间 | | 获奖人及排序 | |  |  |  |  | | |  |  | |  | |  |  |  |  | | |  |  | |  | |  |  |  |  | | |  |  | |  | | **3、获得授权专利情况** | | | | | | | | | | | **团队带头人获得情况** | | | | | | | | | | | 序号 | 专利名称 | 授权号 | | 类别 | 发明人或  设计人排序 | | 授权  时间 | 授权国别或组织 | | |  |  |  | |  |  | |  |  | | |  |  |  | |  |  | |  |  | |   **1、承担主要科研任务** | | | | | | | | | | | | | | | | | | | | |
| **团队带头人主持的** | | | | | | | | | | | | | | | | | | | | |
| 序号 | | 项目名称 | | 立项编号 | | 经费  (万元) | | | | 起止年月 | | | 性质  及来源 | | | | 参与的其他团队成员 | | | |
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| **团队核心成员主持的** | | | | | | | | | | | | | | | | | | | | |
| 序号 | | 项目名称 | | 立项编号 | | 经费  (万元) | | | | 起止年月 | | | 性质  及来源 | | | | 参与的其他团队成员 | | | |
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| **2、获得重要科研学术奖励情况** | | | | | | | | | | | | | | | | | | | | |
| **团队带头人获得情况** | | | | | | | | | | | | | | | | | | | | |
| 序号 | | 获奖项目名称 | | 奖励名称 | | 等级 | | | | 授予  机构 | | | 获奖  时间 | | | | 获奖人及排序 | | | |
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| **团队核心成员获得情况** | | | | | | | | | | | | | | | | | | | | |
| 序号 | | 获奖项目名称 | | 奖励名称 | | 等级 | | | | 授予  机构 | | | 获奖  时间 | | | | 获奖人及排序 | | | |
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| **3、获得授权专利情况** | | | | | | | | | | | | | | | | | | | | |
| **团队带头人获得情况** | | | | | | | | | | | | | | | | | | | | |
| 序号 | | 专利名称 | | 授权号 | | | 类别 | | 发明人或  设计人排序 | | | | 授权  时间 | | | 授权国别或组织 | | | | |
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| **团队核心成员获得情况** | | | | | | | | | | | | | | | | | | | | |
| 序号 | | 专利名称 | | 授权号 | | | 类别 | | 发明人或  设计人排序 | | | | 授权  时间 | | | 授权国别或组织 | | | | |
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| **4、参加重要国内学术会议报告情况** | | | | | | | | | | | | | | | | | | | | |
| **团队带头人参加情况** | | | | | | | | | | | | | | | | | | | | |
| 序号 | | 报告名称 | | 会议名称 | | | 主办方 | | | | 时间 | | 地点 | | | | | 报告  类别 | | |
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| **团队核心成员参加情况** | | | | | | | | | | | | | | | | | | | | |
| 序号 | | 报告名称 | | 会议名称 | | | 主办方 | | | | 时间 | | 地点 | | | | | 报告  类别 | | |
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| **5．重要著作情况** | | | | | | | | | | | | | | | | | | | | |
| **团队带头人拥有著作情况** | | | | | | | | | | | | | | | | | | | | |
| 序号 | | | 著作名称 | | | | 出版社 | | | | 作者 | | 发行国家  和地区 | | | | | | 年份 | |
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| **团队核心成员拥有著作情况** | | | | | | | | | | | | | | | | | | | | |
| 序号 | | | 著作名称 | | | | 出版社 | | | | 作者 | | 发行国家  和地区 | | | | | | 年份 | |
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| **6、代表性论文（“第一作者”或“通讯作者”的论文）** | | | | | | | | | | | | | | | | | | | | |
| **团队带头人发表论文情况（不超过10篇）** | | | | | | | | | | | | | | | | | | | | |
| 序号 | 论文名称 | | | | 主要作者 | | | 期刊名称 | | | | 年份、卷期页码 | | 影响因子 | SCI他引次数 | | | | | 他引  总次数 |
|  |  | | | |  | | |  | | | |  | |  |  | | | | |  |
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| **团队核心成员发表论文情况（不超过10篇）** | | | | | | | | | |
| 序号 | 论文名称 | | 主要作者 | 期刊名称 | 年份、卷期及页码 | | 影响因子 | SCI他引次数 | 他引  总次数 |
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| **7、团队的标志性成果和产业化情况（成果限5项并注明时间）** | | | | | | | | | |
| 序号 | | 成果名称 | | | | 时间 | | | |
| 1 | |  | | | |  | | | |
| 2 | |  | | | |  | | | |
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| 产业化情况说明（**限500字）**： | | | | | | | | | |

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| **8、团队整体创新能力综述。主要包括团队合作开展工作情况，近年科研产出及成果转化应用情况，整体研究能力和学术技术水平，具备的优势和特色，在省内外影响和地位，对所属科学技术领域和相关产业影响等方面的情况。（限1000字）** |
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**三、团队建设计划**

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| **1．今后三年主要研究方向，研究内容及创新点。重点阐述开展的研究对依托单位发展的作用（学科带动、科研水平提升、队伍建设等）；对完成国家、省级重大战略任务，提升相关领域科技创新能力和竞争力的意义。（限1000字）。** | |
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| **2、主要研发项目规划。（重点阐述今后三年内拟开展的创新项目、预期成果。应注重规划的系统性和时间节点）** | |
| 起止年月 | 计划进度（限500字） |
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| **3、人才培养规划。（依托研发项目，分别阐述对带头人、核心成员、其他成员三个层次的培养计划，培养期前后要形成对比关系）** | | | |
| 成员类别 | 姓名 | 培养期前（限200字） | 培养期后（限300字） |
| 团队带头人 |  |  |  |
| 核心成员 |  |  |  |
| 其他成员 |  |  |  |
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| **4、各合作方或团队成员协作规划。（重点阐述在开展上述科研项目时，各合作方或团队成员拟承担的角色和发挥的作用）（限500字）** | | | |
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| **5、依托单位对推荐团队建设和培养所提供的保障措施及落实计划（包括岗位设置、人才培养、科研场所、实验平台、经费投入、项目倾斜等）。（限500字）** | | | |
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**四、项目绩效目标**

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| 一级指标类别 | 二级指标类别 | 明细指标 | 预期绩效目标 |
| 产出类指标 | 知识产权 | 1、专利申请数（项） |  |
| （1）申请发明专利 |  |
| （2）实用新型 |  |
| （3）外观设计 |  |
| 2、专利授权数（项） |  |
| （1）授权发明专利 |  |
| （2）实用新型 |  |
| （3）外观设计 |  |
| 3、软件著作权授权数（项） |  |
| 4、发表论文（篇） |  |
| （1）其中SCI索引收录数 |  |
| （2）其中EI索引收录数 |  |
| （3）其他 |  |
| 5、著作（部） |  |
| 6、制订标准数（项） |  |
| （1）国家标准 |  |
| （2）行业标准 |  |
| （3）地方标准 |  |
| （4）企业标准 |  |
| 其他成果 | 1、填补技术空白数 |  |
| （1）国家 |  |
| （2）省级 |  |
| 4、获奖项数 |  |
| （1）国家奖项 |  |
| （2）部、省奖项 |  |
| （3）地市级奖项 |  |
| 5、其他科技成果产出 |  |
| （1）新工艺（或新方法模式） |  |
| （2）新产品(含农业新品种) |  |
| （3）新材料 |  |
| （4）新装备（装置） |  |
| （5）平台/基地/示范点 |  |
| （6）中试线 |  |
| （7）生产线 |  |
| 6、研究开发情况 | \ |
| （1）小试 | 是、否 |
| （2）中试（样品样机） | 是、否 |
| （3）小批量 | 是、否 |
| （4）规模化生产 | 是、否 |
| 人才引育 | 1、引进高层次人才 |  |
| （1）博士、博士后 |  |
| （2）硕士 |  |
| 2、培养高层次人才 |  |
| （1）博士、博士后 |  |
| （2）硕士 |  |
| 产业化情况 | 新增产能（台/套/只等） |  |
| 新增产能利用率% |  |
| 效果类指标 | 经济效益 | 1、新增产值（万元） |  |
| 2、新增销售（万元） |  |
| 3、新增出口创汇（万美元） |  |
| 4、新增利润（万元） |  |
| 社会效益 | 1、新增税收（万元） |  |
| 2、新增就业人数 |  |
| 其中：本科以上就业人数 |  |
| 3、就业培训（人次） |  |
| 4、带动农民增收（万元） |  |
| 5、农户培训（人次） |  |
| 6、新增产业带动情况（列举情况） | 限100字 |
| 7、技术集成示范（项） |  |
| 8、建立农业示范基地（亩数） |  |
| 9、节约资源能源（列举） |  |
| 10、环保效益 | 限50字 |
| 其他需要说明的情况 | |  | |

**五、需要提交的材料**（附件材料加盖公章）

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| 序号 | 材料名称 | 是否  必备材料 | 备注 |
| 1 | 创新团队带头人及核心成员学历、学位和学术水平证明 | 是 |  |
| 2 | 创新团队带头人及核心成员身份证复印件 | 是 |  |
| 3 | 主要科技奖励证书复印件 | 是 |  |
| 4 | 主要科研项目证明材料 | 是 |  |
| 5 | 标志性论文或著作证明材料 | 是 |  |
| 6 | 发明专利授权书复印件 | 是 |  |
| 7 | 创新团队带头人所在单位对创新团队匹配资助经费证明 | 是 |  |
| 8 | 团队内部各组成单位或团队成员之间相关合作协议 | 是 |  |
| 9 | 其他能够证明创新团队成果和业绩的材料 | 是 |  |

**六、审核意见**

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| 申报  单位  （依托  单位）  意见 | 我单位同意申报并承诺提交的全部申报材料真实可靠，并保证不违反有关科技管理的纪律规定，如我单位有不履行上述承诺或有弄虚作假行为，严肃查处或全力配合相关机构调查处理各种失信行为。情节严重的，愿意承担法律责任。    负责人签字：  单位盖章：  年 月 日 |
| 合作  单位  意见 | 负责人签字：  单位盖章：  年 月 日 |
| 主管部门（单位）意见 | （对申报内容真实性进行审查，并对所给予的支持进行承诺。）  负责人签字：  单位盖章：  年 月 日 |